DCFS PSYCHOPHARMACOLOGY CONSENT PROGRAM

ILLINOIS DEPARTMENT OF CHILDREN AND FAMILY SERVICES

Office of Guardian and Advocacy

UNIVERSITY OF ILLINOIS AT CHICAGO
DEPARTMENT OF PSYCHIATRY

Institute for Juvenile Research
State wards are at significant risk for severe emotional and behavior disturbances.
As clinicians and child advocates we are concerned about the mental health and well-being of the these vulnerable children.
The use of psychotropic medications in this population is closely scrutinized and fraught with challenges.
Pharmacotherapy based on sound clinical and scientific principles will enhance our clients’ outcomes.
A strong consent and consultation program and the publication of practice guidelines will help achieve this goal.
State wards are at significant risk for severe emotional and behavior disturbances.
Foster children have high rates of severe emotional disturbances

- 47.9 – 72% have significant psychopathology
- 9 - 16 times more likely to have mental illnesses than Medicaid-eligible youths who live with their families of origin
Foster children utilize mental health services at disproportionate rates

- Halfon et al. (1992)
  - 10 – 20 times > children not in foster care
  - youth in foster care < 4% of Medicaid-eligible youths, 41% of mental health services utilized
Foster children utilize mental health services at disproportionate rates

- dosReis et al. (2001)
  - Medicaid eligible youth receiving mental health services
    - foster care - 62%
    - SSI - 29%
    - AFDC - 4% of youths receiving other types of aid received mental health services
Foster children are more likely to receive psychotropic medications

- dosReis et al. (2001)
  - 1.67 times > youth on SSI
  - 15 times > youth on AFDC
Foster children are more likely to receive psychotropic medications

- Raghavan et al. (2005)
  - 13.5% of foster children were taking psychotropic medications in 2001 – 2002
  - 2 – 3 times higher than other Medicaid eligible children
The Rate of Pharmacotherapy in Foster Children is Increasing

• Zito et al. (2003)
  – 2- to 3- fold increase in use of psychotropic medications between 1987 and 1996
    - $\alpha_2$-agonists (clonidine and guanfacine)
    • antipsychotic medications
    • anticonvulsant mood stabilizers
The Rate of Pharmacotherapy in Foster Children is Increasing

- Safer et al. (2003)
  - polypharmacy increased from 2.5- to 8-fold during the 1990s:
    - psychiatric hospitals
    - residential treatment centers
The use of psychototropic medications in this population is closely scrutinized and fraught with challenges.
ARE WE GIVING KIDS TOO MANY DRUGS?

A medicated generation is growing up with quick fixes for mood and behavior. Here are the benefits—and the risks.
A nation of dopes numbs its kids with drugs by Pamela White

high on ritalin
“STRAYHORN TO INVESTIGATE DRUG FRAUD IN FOSTER CARE SYSTEM”

• Texas is spending an estimated $4 million a year on mind-altering drugs for foster care children
• “. . . determine whether the drugs are being prescribed to make the children more submissive or to line the pockets of unscrupulous and uncaring doctors and pharmaceutical companies, or both.”
“Drugged into submission”

“Even babies getting treated as mentally ill”

“Prescriptions on the rise even though they haven’t been tested on children”

THE COLUMBUS DISPATCH 4/5/2005
“For foster kids, oversight of prescriptions is scarce”

- Med-Cal prescription claims for atypical antipsychotics for kids in foster care increased 77% between 2001 and 2005, to 70,879
- In Illinois the number of children on IDPA who prescribed an atypical antipsychotic increased 39% between FY 2003 and 2005

5/2/2006
“Antipsychotics Drug Use Is Climbing, Study Finds”

- The use of atypical antipsychotics increased more than fivefold from 1993 to 2002
- Nearly one in five psychiatric visits for young people included a prescription for antipsychotics
- > 40 percent of the children were taking at least one other psychiatric medication
FDA Oversight

- FDA has questioned:
  - safety and efficacy of the SSRIs (FDA, 2004):
    • suicidal ideation and behavior
  - safety of central nervous stimulants:
    • arrhythmias
    • psychosis and other psychiatric symptoms
Legislative Oversight

• Numerous states have passed or introduced laws to ‘protect’ children from psychotropic medications:
  – refusing to give children psychotropic medications is not medical neglect
  – laws prohibiting educators from recommending psychotropic medications
Legislative Oversight

• Child Medication Safety Act of 2003
  – Requires states “to develop and implement policies and procedures prohibiting school personnel from requiring a child…to obtain a prescription for a controlled substance.”
  – Requires tracking of prescription rates for ADHD meds
Oversight by Private Agencies

- Advocacy Center for Persons with Disabilities, Inc.
  - “Legal Strategies to Challenge Chemical Restraint of Children in Foster Care: A Resource for Child Advocates in Florida”
  - “childhood diagnoses have never been definitively shown to exist as any sort of organic pathology and serve to justify psychotropic drug use to excessively drug healthy children in foster care”
Oversight by Private Agencies

• OMB Watch
  – “Using abused and neglected children as guinea pigs”
    • addressed AIDS medication trials
    • “medications used by a child welfare system more interested in controlling than caring for children”
    • ‘doping’ of abused and neglected children
    • access to children by large pharmaceutical firms
Research on Psychopharmacology in Children is Limited

• Case studies, case series
• Few studies on polypharmacy, none on three or more concurrent medications
• Few studies compare active treatments
• Few studies look at off-patent drugs
• Little research on impact of psychototropic medications on development
Research on Psychopharmacology in Children is Limited

• Publication biased towards positive findings
• Vast majority of research on medications is funded by drug companies:
  – often prohibit publication of negative studies
Pharmacotherapy based on sound clinical and scientific principles will enhance our clients’ outcomes.
Algorithm-based treatment is more effective than treatment as usual

- TMAP Schizophrenia
  - ALGO patients had greater improvement in first quarter than TAU
  - TAU caught up at 12 months
  - ALGO patients had greater improvement in cognitive functioning

Miller et al., 2004
Algorithm-based treatment is more effective than treatment as usual

- TMAP MDD
  - ALGO patients had greater improvement over 1 year than TAU
    - clinician-rated
    - self-rated

Trivedi et al., 2004
Algorithm-based treatment is more effective than treatment as usual

- TMAP BD
  - ALGO patients had greater initial and sustained improvement than TAU
    - BPRS-24
    - CARS-M
  - Greater adherence to ALGO associated with greater improvement over time

Dennehy et al., 2005; Suppes et al., 2003
Algorithm-based treatment is more effective than treatment as usual

- CMAP BD
  - ALGO patients had greater improvement than historical cohort
    - CDRS-R
    - CAFAS
    - CGI-severity

Emslie et al., 2004
DCFS Psychopharmacology Consultation Program

- Concept
  - DCFS - legal guardian for ~ 17,500 youth:
  - provide consent for medical and psychiatric treatment
DCFS Psychopharmacology Consultation Program

- DCFS recognized need for quality assurance vis-a-vis psychotropic medications, contracted with UIC to provide independent medication review
DCFS Psychopharmacology Consultation Program

Objectives:
- provide independent review for all psychotropic medication requests
- provide evaluation and consultation on particularly complicated cases
- notify the Guardian where provider patterns warrant review
- disseminate information regarding new pharmaceutical developments and alerts
- develop training materials and conduct training for DCFS staff on psychotropic medication management
Rule 325

Administration of psychotropic medications to children for whom DCFS is legally responsible
Rule 325

• Purpose
  – To create a system which promptly identifies and evaluates the needs of children for psychotropic medication, provides timely access to such medication, and monitors children on such medication, while recognizing the risks that such medications pose, particularly if they are not prescribed and monitored with care.
Rule 325

• General Provisions:
  – Psychotropic medications cannot be administered without consent except in an emergency
  – States that the child must give informed consent:
    • includes steps needed when the child objects to the administration of psychotropic medication.
Rule 325

• General Provisions:
  – Establishes a Pharmacological Review Committee and defines its role
    • develop and publish a Pharmacy and Therapeutic Manual
    • in revised Rule 325 will review cases of polypharmacy
  – Defines training for authorized agents
PSYCHOTROPIC MEDICATION CONSENT PROCESS

- Prescribing Clinician
- Authorized Agent
- UIC Consultation RN
- Psychiatric Consultant
- DCFS or POS Worker
- UIC Consultation RN
- UIC Research Team
- Authorized Agent
State of Illinois
Department of Children and Family Services
PSYCHOTROPIC MEDICATION REQUEST

Date
Child’s Name ___________________________ DCFS L.D. # ____________________

Date of Birth ___________ Male □ Female □ Weight ___________ Height ___________ Ethnicity ___________
Prescribing Physician ___________________________

Specialty ____________________
Address ___________________________

Telephone __________________ Fax __________________

Placement: Foster care □ Residential □ DOC □
Hospital □ Family of Origin □ Other

Facility Name ___________________________

All Psychiatric Diagnosis:

All current medication and dosages:

________________________________________________________________________

Medication Information

Please check one:
New □ Increase □ *180 day Renewal □ Resume □ New Ward Current Medication □

*If medication renewal is for 180 day Renewal, include the current dosage in the space provided above.

Symptoms or Rationale for medication requested:

________________________________________________________________________

Brand name ___________________________
Chemical name ___________________________

Dosage ___________________________
Frequency ___________________________

Dosage form ___________________________
Duration (not to exceed 180 days) ___________________________
Potential side effects:

Concurrent Medical Diagnosis:
Alternative treatment methods considered/attempted and the reasons they failed or were rejected:

If child is 12 years of age or older, does he/she object to medication? Yes □ No □

APPROVED □ DENIED □ CONDITIONAL APPROVAL □

Conditions/Comments:

Date and time consent given: ___________________________ Legal Status and date: ___________________________

DCFS Guardian/Administrator ___________________________
Authorized Agent ___________________________

Authorized Agent or Caseworker: ___________________________
Telephone __________________ Fax __________________
Psychotropic Medication Request Form

• Demographic information
  – ID Number
  – date of Birth
  – weight and height
  – ethnicity
  – placement
  – physician’s name and specialty
Psychotropic Medication Request Form

• Clinical information
  – diagnosis
  – current medications and dosage
  – symptoms/rationale
  – requested medication
    • dosage and frequency
  – tests and procedures to monitor medication
Medication consultation requests are:
- approved
- denied
- modified
- reviewed (emergency medications only)

Action on some requests may be delayed pending additional information
Completed Consultations by UIC Consultation Team

Consultations by Month

Through April 2006
Requests for Emergency Medications

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<th>Month</th>
<th>July '03</th>
<th>Aug. '03</th>
<th>Sept. '03</th>
<th>Oct. '03</th>
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number of emergency medications
UIC Consultation Team Recommendations

Approvals: 91%
Modified: 4%
Denials: 2%
Incomplete information, unable to process: 3%

N=852, three week sample
Reasons for Denial

• Polypharmacy
  – antipsychotic medications
  – co-therapy for ADHD, depression

• Anticonvulsant mood stabilizers

• Stimulant and antipsychotic to treat new onset psychosis in ADHD youngsters on stimulants
Compliance with Rule 325

Requests in compliance with Rule 325: 90%

Medications being given without consent: 10%

N=12,465
A strong consent and consultation program and the publication of practice guidelines will help achieve this goal.
Goals of the Expert Panel

• Provide consultation to the DCFS Consent Unit on specific problematic topics
Goals of the Expert Panel

• Help craft a manual documenting policies and procedures and general practice guidelines for clinicians and a companion information booklet for care providers
Task of Panelists

• Leader
  – assign reporter
  – establish baseline understanding of task and background information
  – facilitate discussion
Task of Panelists

• Panelist
  – discuss their treatment strategies and rationales to establish idea of community standard of care
  – offer ideas, recommendations
Task of Panelists

• Recorder
  – record discussion and recommendations
  – report findings and recommendations from breakout groups in Report Session